The Tribunal is comprised of:

|  |  |
| --- | --- |
| Name | Firm / Chambers (if applicable) |
|  |  |
|  |  |
|  |  |

Name each party and answer the following:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Party No. | Party[[1]](#footnote-1) Name | Is the Party part of a group? | Does the Party have an ultimate holding company? If so, name. | Does the Party have an intermediate holding company?  If so, name. | Does the Party have a subsidiary company / companies?  If so, name. | Does the Party have a sister company?  If so, name. | Name the key managers, directors, member of supervisory board, employees, significant shareholder, person of control or influence[[2]](#footnote-2) in relation to the party or other individuals who have a direct financial or economic interest? |
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Any holding company; subsidiary company; sister company and key individuals named are, for the purposes of this questionnaire, treated as the Affiliates of the party. Law firm includes barristers’ chambers.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Party No. | Party Name | Is a member of the tribunal or her/his law firm rendering, or do they regularly render, any services[[3]](#footnote-3) to the party or an Affiliate[[4]](#footnote-4) of the party?  Is the arbitrator involved in the rendering of such services? | If services are rendered, what was the aggregate fees over the last 3-years by year? | Is any member of the tribunal serving as arbitrator[[5]](#footnote-5) in another arbitration involving the party or an Affiliate of the party?  If so, are the issues in the two arbitrations related?  Comment / Explain. | Has any member of the tribunal served as arbitrator in another arbitration involving the party or an Affiliate of the party in the past 3 years?  If so, are the issues in the two arbitrations related?  Has any arbitrator been appointed on 2 or more occasions? If so, which arbitrator? Comment / Explain. | Does the party or an Affiliate of the party have any relationship with any member of the Tribunal? If so, comment / explain. | Does any member of the Tribunal have a direct economic interest in the outcome? |
|  |  |  |  |  |  |  |  |
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Is there any other fact or disclosure you wish to bring to the attention of the tribunal?

If an arbitrator is appointed in another arbitration with the same or overlapping subject matter do you consent to the arbitrator disclosing:

1. whether there is a common party?
2. who is the common party?
3. who made the appointment?
4. whether the arbitration arises from the same facts or incident?
5. whether similar issues are likely to arise in both arbitrations?
6. something else?

*State ‘yes’ or ‘no’ to (a – e) all or specify which and if consent is given to (f) state what*

Have you made reasonable enquiries so as to provide all and any relevant information to the tribunal?

1. In the case of a State, consider all regional authorities, agencies and organs of the state and whether these should be disclosed as if part of a group [↑](#footnote-ref-1)
2. Including the selection or nomination of arbitrators [↑](#footnote-ref-2)
3. Including as expert in an unrelated matter [↑](#footnote-ref-3)
4. Any holding company; subsidiary company; sister company or companies in the same group and key individuals (specifically those with a controlling influence) named (including any person or entity over which a party has a controlling influence) are treated as the Affiliates of the party. [↑](#footnote-ref-4)
5. Including mock-trials, hearing preparation or as an expert. [↑](#footnote-ref-5)